Dementia Care Mapping: A Review of the Research Literature

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Purpose: The published literature on dementia care mapping (DCM) in improving quality of life and quality of care through practice development and research dates back to 1993. The purpose of this review of the research literature is to answer some key questions about the nature of the tool and its efficacy, to inform the ongoing revision of the tool, and to set an agenda for future research. Design and Methods: The DCM bibliographic database at the University of Bradford in the United Kingdom contains all publications known on DCM (http://www.bradford.ac.uk/acad/health/dcm). This formed the basis of the review. Texts that specifically examined the efficacy of DCM or in which DCM was used as a main measure in the evaluation or research were reviewed. Results: Thirty-four papers were categorized into five main types: (a) cross-sectional surveys, (b) evaluations of interventions, (c) practice development evaluations, (d) multimethod evaluations, and (e) papers investigating the psychometric properties of DCM. Implications: These publications provide some evidence regarding the efficacy of DCM, issues of validity and reliability, and its use in practice and research. The need for further development and research in a number of key areas is highlighted.

Key Words: Alzheimer’s disease, DCM, Well-being, Quality of life, Quality of care

Dementia Care Mapping (DCM; Bradford Dementia Group, 1997) is an observational tool that has been used in formal dementia-care settings over the past 13 years, both as an instrument for developing person-centered care practice and as a tool in quality-of-life research. It developed from the pioneering work of the late Professor Tom Kitwood on person-centered care. In his final book, Dementia Reconsidered, Kitwood (1997) described DCM as “a serious attempt to take the standpoint of the person with dementia, using a combination of empathy and observational skill” (p 4). The instrument has been described fully elsewhere (Kuhn, Ortigara, & Kasayka, 2000). In brief, an observer (mapper) tracks 5 people with dementia (participants) continuously over a representative time period (e.g., 6 hr during the waking day). Mapping takes place in communal areas of care facilities. After each 5-min period (a time frame), two types of codes are used to record what has happened to each individual. The behavioral category code (BCC) describes 1 of 24 different domains of participant behavior that has occurred. BCCs are subdivided into those behaviors that are thought to have high potential for well-being (Type 1) and those with low potential (Type 2). The mapper also makes a decision for each time frame, based on behavioral indicators, about the relative state of ill-being or well-being experienced by the person with dementia, called a well- or ill-being value (WIB). This is expressed on a 6-point scale ranging from extreme ill-being to extreme well-being. WIB values can be averaged to arrive at a WIB score. This provides an index of relative well-being for a particular time period for an individual or a group.

Personal detractions (PDs) and Positive events (PEs) are recorded whenever they occur. Personal detractions are staff behaviors that have the potential to undermine the personhood of those with dementia (Kitwood, 1997). These are described and coded according to type and severity. Positive events—those that enhance personhood—also are recorded by the mapper, but these are not coded in a systematic manner.

DCM is grounded in the theoretical perspective of a person-centered approach to dementia care. Person-centered care values all people regardless of age and health status, is individualized, emphasizes the perspective of the person with dementia, and stresses the importance of relationships (Brooker, 2004). Within Kitwood’s writing is the assumption that, for people with dementia, well-being is a direct result of the quality

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of relationships they enjoy with those around them. The interdependency of the quality of the care environment to the relative quality of life experienced by people with dementia is central to person-centered care practice. In placing DCM in the taxonomy of measures of quality of life and quality of care, DCM attempts to measure elements of both. In its BCCs and WIBs, DCM measures relative well-being, affect, engagement, and occupation, which are important elements of quality of life. Through PDs and PEs, DCM records the quality-of-care practice as it promotes or undermines the personhood of those being mapped.

The method and coding system were originally developed through ethnological observations of many hours in nursing homes, hospital facilities, and day care facilities in the United Kingdom (Kitwood & Bredin, 1994). It was designed primarily as a tool to develop person-centered care practice over time with data being fed back to care teams who could then use it to improve their practice. The original development work is not available in the public domain. DCM has been criticized for this (Adams, 1996). Also, many of the basic psychometric tests expected in the development of such a complex tool were not published.

Despite this, DCM has grown in popularity over the years. Many practitioners have used these codes successfully in many different situations and continue to do so. The reasons for this have not been systematically investigated. In part, it may be because DCM provides a vehicle for those wishing to systematically move dementia care from primarily a custodial and task-focused model into one that respects people with dementia as human beings. There are very few other tools that purport to do this or that have been shown to be effective in this endeavor in the field of dementia care.

DCM certification is only available through licensed trainers who undergo rigorous preparation for their role and use standardized training methods prepared by the University of Bradford. The basic training is a 3-day course, with further options of advanced training and evaluator status also available. DCM training is currently available in the United Kingdom, United States, Germany, Denmark, Australia, Switzerland, and Japan.

DCM has been through a number of changes since its inception. Until 1997, DCM 6th edition was used. In 1997, DCM was revised based on feedback from practitioners resulting in the 7th edition (Bradford Dementia Group, 1997). The changes were made, in part, to clarify terminology (e.g., care values became well- or ill-being values); there was an increase in the number of BCCs, from 17 to 24, and PEs were formally recorded as part of the DCM evaluation. There were, however, no published papers demonstrating the relationship between scores on the 6th and 7th editions of DCM. During the past 3 years, various international working groups and field trials have made suggestions for revisions to DCM. DCM 8 will be launched in late 2005 in the United Kingdom.

Beavis, Simpson, and Graham (2002) reviewed literature on DCM from 1992 until June 2001 and identified nine papers that met their inclusion criteria. There have been important papers published since this time, and, using similar inclusion criteria (discussed below), the current review identified 34 papers. This review aims to clarify what is known about the DCM tool and to inform the direction of DCM 8 and future research.

Design and Methods

The international DCM network led by the University of Bradford maintains a DCM bibliographic database that contains all known publications on DCM (http://www.bradford.ac.uk/acad/health/dcm). This database formed the basis of this review. It includes refereed and nonrefereed journal articles, book chapters, theses, and non-English language texts. It is updated by the Bradford Dementia Group with annual bibliographic searches on Medline, Cinahl, and PsycINFO using the terms “DCM” and “dementia care mapping” as well as personal correspondence from practitioners and researchers.

I included articles that specifically examined the efficacy of DCM or in which DCM was a main measure in evaluation or research. There were no exclusion criteria based on quality of scientific design. Articles that were purely descriptive were excluded, as were dissertations. There are many additional articles and publications that describe aspects of DCM and its use. Some of these will be referred to in the discussion. The review includes articles published between 1993 and March 2005.

I assigned each article to one of five categories according to its basic purpose in using DCM. I developed tables that summarize key parameters pertinent to this review: (a) settings and size; (b) aims of study as set out by the authors; (c) length of time mapped; (d) sample selection and characteristics; (e) study design; (f) version of DCM used; (g) interrater reliability; (h) DCM outcomes, (i) statistical tests; and (j) level of significance. (The full tables summarizing each article can be downloaded from the Web site previously mentioned or are available on request from the author.)

Results

Thirty-four articles met the inclusion criteria. They were divided into five main types.

1. Cross-Sectional Surveys

In 11 articles, DCM was used in a number of different facilities, and the results either compared or pooled. Some of these presented baseline data for intended further studies (Wilkinson, 1993; Williams & Rees, 1997; Younger & Martin, 2000) whereas others had the explicit aim of surveying quality of care or quality of life (Ballard et al., 2001; Innes & Surr, 2001; Kuhn, Kasayka, & Lechner, 2002; Perrin, 1997). An additional 4 articles used DCM to investigate the relationship between participants’ characteristics and well-being and activity (Chung, 2004; Kuhn, Edelman,
Seven of these articles presented data from U.K. long-term facilities (Ballard et al., 2001; Innes & Surr, 2001; Perrin, 1997; Potkins et al., 2003; Wilkinson, 1993; Williams & Rees, 1997; Younger & Martin, 2000). Three were U.S. studies examining assisted living facilities and day care facilities (Kuhn et al., 2002; Kuhn, Edelman, & Fulton, 2005; Kuhn, Fulton, & Edelman, 2004), and 1 was from Hong Kong (Chung, 2004). They ranged in size from 30 people in 6 facilities surveyed by Wilkinson (1993) to the largest study by Ballard and colleagues (2001), who surveyed 218 people in 17 facilities; the average study size was 110 people in 8 facilities. All mapped for around 6 hr, except for Williams and Rees (1997) and Younger and Martin (2000), who mapped for 12 hr. DCM 6 was used by Wilkinson (1993), Perrin (1997), and Williams and Rees (1997).

3. Evaluation of DCM in Practice Development

Six articles investigated the ability of DCM to develop practice over time by means of repeated evaluations. In these reports DCM was used in a developmental process or in a continuous quality-improvement cycle with the explicit goal of using DCM data to change care practice. Barnett (1995); Brooker, Foster, Banner, Payne, and Jackson (1998), and Martin and Younger (2001) report results across a number of facilities, whereas Lintern, Woods and Phair (2000b); Martin & Younger (2000), and Wylie, Madjar, & Walton (2002) report results from single facilities. In the largest of these studies, Brooker and colleagues reported DCM across nine facilities for three annual cycles; the smallest of these was Martin and Younger (2000). DCM 6 was used by Barnett; Lintern and colleagues (2000a), and Brooker and colleagues. All of the studies showed demonstrable changes in DCM scores over time. Brooker and colleagues was the only study to use statistical analysis to demonstrate the significance of change over time.

4. MultiMethod Qualitative Evaluations

Three articles reported using DCM as part of a multimethod evaluation of a single facility or service (Barnett, 2000; Parker, 1999; Pritchard & Dewing, 2001). All these articles were qualitative evaluations and used DCM in this frame.

5. Investigations of Psychometric Properties

Four studies looked directly at some of the psychometric properties of DCM. Fossey, Lee, and Ballard (2002) examined internal consistency, test–retest and concurrent validity, and shortened mapping time in a U.K. long-term population of 2 cohorts of 123 and 34, respectively. The 2 cohorts were chosen to increase the variance in dependency and agitation. All were mapped for 6 hr on each occasion, 24 mapped 1 week apart, and 30 mapped between 2 and 4 weeks apart. Test–retest reliability was established for both cohorts. Internal consistency was demonstrated between the main parameters. A correlation was found between key parameters in the hour prior to lunch and the total 6-hr map.

Edelman, Fulton, and Kuhn, (2004) compared five dementia-specific quality-of-life measures, including DCM, in 54 people with dementia in 3 U.S. day-care facilities. WIB scores did not correlate with quality-of-life interviews but did correlate with proxy measures. WIB scores did not correlate with Mini-Mental State Examination (MMSE) scores but they did with the number of dependent activities of daily living (ADLs). In a second study on 166 people with dementia in 8 different facilities, Edelman, Kuhn, and Fulton (2004) further assessed the relationship between DCM and MMSE scores, number of dependent ADLs, depressive symptoms, and facility type. Low WIB scores and higher percentages of sleep correlated with low MMSE scores and higher dependency. WIB scores were
lower in dementia specific nursing homes than assisted living facilities and day care. There was not a significant relationship between DCM scores and depressive symptoms.

Thornton, Hatton, and Tatham (2004) assessed interrater reliability in routine mapping on 20 participants. They also compared BCCs to actual amount of time spent in different behaviors and looked at the relationship between dependency and WIB scores in 64 patients in a U.K. long-stay and day-care facility. They found that interrater reliability in routine maps was less than 50% for 12 codes. They also demonstrated that DCM gives lower indication of passive and withdrawn behaviors than continuous time sampling. Correlations between dependency and WIB score also were demonstrated.

DCM Data Across Studies

Despite the variety of studies, there is consistency of what they report in terms of DCM data. In long-term care, BCC codes A (social interaction), B (watching), and F (eating and drinking) appear as the most frequent codes almost without exception. Codes K (walking) and N (sleeping) appear as the next most frequently cited. In facilities with lower WIB scores, C (withdrawn) and W (repetitive self-stimulation) appear in the top five (Chung, 2004; Innes & Surr, 2001; Perrin, 1997). In facilities with higher WIB scores, codes E (creative activity), J (exercise), and M (engaging with media such as books, TV) appear more frequently (Brooker et al., 1998; Kuhn et al., 2002; Martin & Younger, 2001). Taking the group WIB scores across the studies as a whole (n = 39, excluding the less well-described studies) these provided an average (mean) group WIB of 0.9 (SD = 0.92) for long-term care. Group WIB scores from long-term care facilities ranged between 0.32 (Ballard et al., 2001) to 1.5 (Innes & Surr, 2001).

Generally, a greater diversity of BCCs and higher WIB scores are reported in day-care facilities (Barnett, 2000; Brooker et al., 1998; Kuhn et al., 2004; Martin & Younger, 2001; Williams & Rees 1997) with BCC codes M (media), G (games), L (work-like activity) and I (intellectual), J (physical exercise), E (creative expression), and H (handicrafts) appearing in the top five reported codes. Of the eight day-care group WIB scores occurring in facilities where other quality audit tools demonstrated better quality of care.

Many published DCM evaluations do not report PDs. A number suggest that the highest level of PDs occur in those facilities with the lowest WIB scores (Brooker et al., 1998; Innes & Surr, 2001; Kuhn et al., 2002; Williams & Rees, 1997). Most PDs reported fall in the mild to moderate category. Innes and Surr were the only authors to report positive events. Nineteen of the studies reported interrater reliability data which ranged from 0.7 to 1.0, most reporting concordance coefficients of 0.8.

Implications

These studies can help to answer, at least in part, some common questions about DCM. In addition to this, issues are highlighted that should be taken forward in the development of DCM.

Does DCM Measure Quality of Care and/or Quality of Life?

In terms of concurrent validity with other measures there is some evidence that DCM is related to indicators of quality of care. Bredin and colleagues (1995) reported a relationship between a decrease in DCM scores and an increase in pressure sores. Brooker and colleagues (1998) reported a clustering of high WIB scores occurring in facilities where other quality audit tools demonstrated better quality of care.

There is evidence of concurrent validity of WIB scores with proxy quality-of-life measures. Fossey and colleagues (2002) demonstrated a significant correlation between WIB scores and the Blau (1977) proxy measure of quality of life. Edelman and colleagues (2004) demonstrated a moderately significant correlation between WIB scores and two staff proxy measures of quality of life—the Quality of Life AD–Staff (Logsdon, Gibbons, McCurry, & Teri, 2000) and the Alzheimer’s Disease-Related Quality of Life (ADRQL; Rabins, Kasper, Kleinman, Black, & Patrick, 1999) in adult day care. This study did not demonstrate a correlation between any of these measures compared to direct quality-of-life interviews with a less cognitively impaired subgroup. In his multimethod study, Parker (1999) noted that during interviews, people with dementia rated their quality of life as better than their DCM scores would suggest.

Data from a larger, as yet unpublished, study (Edelman, Kuhn, Fulton, Kasayka, & Lechner, 2002) also compared DCM results with another observational measure—the Affect Rating Scale (Lawton, 1997). On the Affect Rating Scale, positive WIBs correlated with positive affect and negative WIB scores with negative affect. Brooker and colleagues (1998) also demonstrated a significant correlation between WIB score and level of observed engagement (McFayden, 1984) on a small sample of 10 participants.

DCM measures something similar to proxy measures and other observation measures. DCM is somewhat different from other quality-of-life and quality-of-care measures in that it attempts to measure elements of...
Can Different Mappers Use DCM Reliably?

When many different mappers are engaged in mapping at different points in time, drifts in coding can have a significant impact on results (Thornton et al., 2004) unless systematic checking is in place to prevent this. It is perfectly possible to achieve acceptable interrater reliability as many of the studies here demonstrate. Surr and Bonde-Nielsen (2003) outline the various ways in which reliability can be achieved in routine mapping. Although interrater reliability can be demonstrated within studies—and should always be so where more than a single mapper has been used—it cannot be assumed when comparing one study to another. This is a major challenge for those providing DCM training. One of the main ways of achieving interrater reliability in practice is for all mappers to have regular checks with a “gold standard mapper.” Provisions need to be made to make the status of a gold standard mapper more formalized, possibly through advanced DCM training. This status could be accredited by regular web-based or video role-play materials that mappers have to code correctly to maintain their status.

In terms of the development of DCM 8, efforts should be made to decrease ambiguity in the codes and to eliminate any unnecessary complexity from the rules. Thornton and colleagues (2004) and work currently being undertaken in Germany (Ruesing, 2003) have helped clarify the most problematic codes. There are no published data on the interrater reliability of PD and PE recordings. This also should be incorporated in DCM 8.

Only Fossey and colleagues (2002) looked at test–retest reliability. The best correlation was between percentage of +3 and +5, followed by overall WIB score. Significance was more moderate for type of BCC and in the large numbers of people undertaking DCM training.

Does DCM Change Care Practice?

In 2001, an international “think tank” of DCM practitioners came together to review their collective experience on DCM (Brooker & Rogers, 2001). Their conclusions from practice were that DCM, used within an organizational framework that supported person-centered care, could improve levels of well-being, increase the diversity of occupation, and decrease the incidence of personal detractions. The published developmental evaluations reviewed here supports this assertion both for larger scale quality-assurance initiatives (Brooker et al., 1998, Martin & Younger, 2001) and more in-depth developments in single establishments (Lintern et al., 2000b; Martin & Younger, 2000; Wylie et al., 2002). The face validity of DCM for practitioners appears high in formal evaluations (Brooker et al.; Younger & Martin, 2000) and in the large numbers of people undertaking DCM training.

DCM has been used as a tool for practice development by many people and organizations. The mix of papers in this review cannot be taken as a reflection of the way in which DCM is used generally. By the nature of their work, those in practice development are less likely to publish than those engaged in research. The research issue for whether DCM changes care practice is to clarify the way in which DCM is used and the organizational setting conditions necessary to maximize impact.

A difficult issue, in terms of validity for practice development, is whether using DCM in a repeated cycle...
of evaluations actually improves quality of life for people with dementia. A problem with all of the studies outlined above is that their only measure of improvement was DCM. In other words, DCM served as both the intervention and the outcome measure. Without a longitudinal controlled study of DCM as a tool for practice development, which utilizes other quality of life measures as the main outcome, it cannot be said categorically that DCM improves quality of life. There are many practitioners who believe that DCM does have a positive impact when used within certain setting conditions (Brooker & Rogers, 2001). In the context of working in a field where tools for practice development are not common, DCM is a tool that practitioners want to use.

**Is DCM a Suitable Tool for Research?**

DCM was not designed to be a research tool, and investigations into its reliability and validity are only just beginning to appear. Acceptable interrater reliability is achievable, and concurrent validity with other proxy measures of quality of life has been demonstrated. Fossey and colleagues (2002) demonstrated internal consistency and test–retest reliability. These findings require replication, and the issue of the impact of dependency and diagnosis on scores needs to be determined, as does the impact of care regimen. Further research into its psychometric properties continues, and more studies are expected. Careful consideration should be given in deciding whether DCM is fit for purpose given the specific topic under investigation.

DCM has been used in cross-sectional surveys, evaluations of interventions, and multimethod qualitative evaluations by a number of researchers. In terms of cross-sectional surveys, there are tools that may be more suited to this task that do not have the attendant time-consuming problems and specialist training associated with DCM (Edelman et al., 2004). Whether they would be better tools for the purpose of answering the specific research questions is debatable.

From the studies presented here, DCM seems to be suited to smaller scale within-subjects or group comparison intervention evaluations, given that it appears to demonstrate discrimination on a variety of interventions. In multimethod qualitative designs, DCM appears to enrich the data derived from proxy and service-user interviews and focus groups. DCM provides an opportunity to represent a reflection on what could be the viewpoint of service users who are unable to participate fully in interviews.

What is clear is that BCCs do not measure real-time estimates of different types of behavior (Thornton et al., 2004). Because of the rules of coding in DCM, it will underestimate the occurrence of socially passive and withdrawn behavior compared to data collected with continuous time sampling. Researchers interested in looking at withdrawn and passive behavior might be better advised to use another tool. It is worthy of note, however, that despite this, three studies (Ballard, O’Brien et al., 2002; Gigliotti et al., 2004; Potkins et al., 2003) found DCM discriminated between groups on social withdrawal in their evaluations.

There are a number of modifications to DCM that might prove useful when using DCM in research. A current U.S.-led project is considering whether some of the operational rules within DCM for selecting specific BCC and WIBs should be changed for the purposes of research evaluations. A number of studies reviewed that presented group-level data have collapsed the number of BCCs into a number of supracategories (Chung, 2004; Gigliotti et al., 2004; Kuhn et al., 2004). It may be that streamlining DCM further by using time sampling could provide a more useful research alternative as has already been tried by McKee, Houston, and Barnes (2002). Further research is needed to clarify how streamlined versions relate to the full tool and whether the same degree of training would be necessary to use them.

**What Do the Scores Mean in Terms of Benchmarking?**

The table on how to interpret DCM data in the DCM manual (Bradford Dementia Group, 1997) is not based on published data. Evidence from this review presents a range of group WIB scores against which to benchmark, suggesting that scores are generally higher in day care than long-stay care. How much this is confounded by the different dependency levels is unclear. Work is currently underway to develop an international database of DCM results to which all international strategic DCM partners would have access. The database should include participant and facilities factors that could be used in stratified analyses, correlational studies, and as adjustment factors. The quality of DCM data in the international database could be safeguarded by only accepting data that has been verified by a gold-standard mapper.

**What Is a Significant Change in Scores?**

Published studies that have looked at change through developmental evaluation report group WIB changes in the range of 0.5. A study by Brooker and colleagues (1998) was the only developmental evaluation to present a statistical analysis of the results where changes of 0.1 to 0.5 were significant at the 0.03 level over 3 data points, and changes at 0.7 and 0.9 were significant at the 0.005 and 0.001 level, respectively, between 2 data points. Intervention studies (Brooker & Duce, 2000; Brooker, 2001; Gigliotti et al., 2004; Jarrott & Bruno, 2003) report differences in the range of 0.4 to 1.1, which were all statistically significant. Changes in individual WIB scores, WIB value profiles, and BCC profiles are more variable. Further research is needed to clarify what constitutes a clinically significant change.

**How Long Should a Map Be?**

Six hr is the current guidance in DCM training, but there is no empirical evidence to verify the representativeness of this time period. Most of the studies here have
mapped for 6 hr, although those using DCM for practice-development purposes mapped for much longer (Brooker et al., 1998; Martin & Younger, 2001; Williams & Rees; Wylie et al., 2002). It also is evident from practice that useful insights can be gained from mapping for just a couple of hours (Heller, 2004). Length of maps will depend, in part, on the reason for mapping, but there is a drive to spend the least amount of time possible collecting data. Fossey and colleagues (2002) found a statistically significant correlation between the hour prior to lunch and a 6-hr map on all their key indicators at the group level. It is likely that there would be a great deal more variation on an individual level. An unpublished U.S study (Douglass & Johnson, 2002) mapped 18 residents during a 6-day period for periods of 2, 4, 6, and 8 hr in a continuing care retirement community. Acceptable levels of interrater reliability were demonstrated in maps of more than 4 hr in duration. This important issue requires further research.

Conclusions
These studies report evidence that DCM has a role in practice development and research within the broad aim of improving the quality of the lived experience for people with dementia. Priority should be given to a controlled longitudinal study to evaluate fully the impact of DCM in improving quality of life through practice development. A large international database on DCM results would help clarify the relationship between DCM results, dependency, diagnostic group, and facility characteristics. Steps need to be taken through the development of the method, training, and accreditation to ensure reliability. Further research would help clarify the clinical significance of change in scores, the length of mapping, and amendments to the method when it is used as a research tool.

The published work on DCM is of variable quality but is growing in strength. DCM’s advantages are that it is standardized, quality controlled, international, responsive to change, multidisciplinary, and has an increasing research base. DCM provides a shared language and focus across professional disciplines, care staff, and management teams. It is seen as a valid measure by frontline staff as well as those responsible for managing and commissioning care. It also provides a shared language between practitioners and researchers.

DCM holds a unique position in relation to quality of life in dementia care, being both an evaluative instrument and as a vehicle for practice development in person-centered care. Many of the intervention evaluations cited above have been undertaken because DCM has given practitioners a way of trying to evaluate their practice. Maintaining a dialogue between the worlds of research and practice in health and social care is a major challenge. DCM provides an opportunity to do this.

References
* Indicates articles included in the review.


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